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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: ABBOTT SPINE

Application No./Patent No.: See Attachment A

Filed/Issue Date: See Attachment A

Entitled: See Attachment A

ABBOTT SPINE

, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

In the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy therefore is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at

Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at

Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at

Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☒ Additional documents in the chain of title are listed on a supplemental sheet.

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Todd Dawson  
Signature

Todd Dawson

Printed or Typed Name

Vice President, Global Intellectual Property, Zimmer, Inc.  
signing on behalf of wholly owned subsidiary Abbott Spine

Title

11/24/08  
Date

(574) 372-4281  
Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1460. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1460.

If you need assistance in completing the form, call 1-800-PTO-9198 and select option 2.

# ATTACHMENT A

Application Number	08/905,823	10/036,012
Filing Date	August 4, 1997	December 26, 2001
First Named Inventor	Erik J. Wagner	Erik J. Wagner
Title	Spinal Plating System and Method for Fixation of the Human Spine	System and Method for Stabilizing the Human Spine with a Bone Plate
Art Unit	3731	3731
Examiner Name	Reip, David Owen	Reip, David Owen
Attorney Docket No.	ZIMM1890	ZIMM1890-3
Assignee	Spinal Concepts, Inc.	Spinal Concepts, Inc.
Reel/Frame	8985/0478	023359/0485
Assignee	Abbott Spine, Inc.	Abbott Spine Inc.
Reel/Frame	023359/0539	023367/0237